ANNEX B GOVERNMENT PROCUREMENT POLICY BOARD CONSOLIDATED PROCUREMENT MONITORING REPORT (Page 1 of 2)

# Name of Agency: Balamban Water District

Period Covered: CY 2016

	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGEPS	No. of Contract Award Posted at PhilGEPS
Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8			
1. Public Bidding*						column y	Column 8	Column 9	Column 10	Column 11
1.1. Goods	2,075,094.82	6	3	1,056,808.10	3	17	17			
1.2. Works				-,,		1/	17	16	6	3
1.3. Consulting Services			······································							
Sub-Total	2,075,094.82	6	3	1,056,808.10						
2. Alternative Modes			,	1,038,808.10	3	17	17	16	6	3
2.1.1 Shopping (52.1 b above 50K)	2,646,895.90	19	17	1,948,683.05						
2.1.2 Shopping (Others)	2,302,389.01	154	152						19	17
2.2. Direct Contracting	24,864.00	1	152	2,258,222.21			and the second			
2.3. Repeat Order				24,864.00	A CONTRACTOR			and the second se		
2.4. Limited Source Bidding					The second second					
2.5.1 Negotiation (Common-Use Supplies)										
2.5.2 Negotiation (TFB 53.1)					1.1					
2.5.3 Negotiation (SVP 53.9 above 50K)	1,444,769.20	11	10	1 433 055 60						
2.5.4 Negotiation (Others)	474,638.01	45	44	1,433,055.60					11	10
Sub-Total	6,893,556.12	230	224	459,907.64				and the second second		
<ol> <li>Foreign Funded Procurement**</li> </ol>		230	224	6,124,732.50					30	27
3.1. Publicly-Bid					1.000					
3.2. Alternative Modes										
Sub-Total	0.00	0	0							
. Others, specify:			0	0.00	1000					
ΤΟΤΑΙ	8,968,650.94	236	227	7,181,540.60						

\* Should include foreign-funded publicly-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

## ANNEX B GOVERNMENT PROCUREMENT POLICY BOARD CONSOLIDATED PROCUREMENT MONITORING REPORT (Page 2 of 2)

# Name of Agency: Balamban Water District

Period Covered: CY2016

	Total No. Of Contracts that incurred negative slippage	Total No. of contracts with amendments to order or variation orders	Ave. No. of Days for Approval of Resolution/Issuance of Notice of Award	No. of Contracts with Observers Attending	No. of Contracts with COA Observers Attending	Ave. No.of Days to Resolve Requests for Reconsiderations / Protests	No. of Contracts Awarded within prescribed timeframes
	Column 12	Column 13	Column 14	Column 15	Column 16	Column 17	Column 18
1. Public Bidding*						Column 17	Column 18
1.1. Goods			2			3	-
1.2. Works						3	3
1.3. Consulting Services							
Sub-Total	0	0	N/A	0			
2. Alternative Modes				U	0	#DIV/0!	3
2.1.1 Shopping (52.1 b above 50K)						the state of the state of the	
2.1.2 Shopping (Others)							
2.2. Direct Contracting						and the second second	
2.3. Repeat Order							And the second second
2.4. Limited Source Bidding							
2.5.1 Negotiation (Common-Use Supplies)							
2.5.2 Negotiation (TFB 53.1)							
2.5.3 Negotiation (SVP 53.9 above 50K)							
2.5.4 Negotiation (Others)							
Sub-Total							
3. Foreign Funded Procurement**							
3.1. Publicly-Bid							
3.2. Alternative Modes				19 19 19 19 19 19 19 19 19 19 19 19 19 1			
Sub-Total				designed and the second second			
. Others, specify:							
TOTAL							

\* Should include foreign-funded publicity-bid projects per procurement type

\*\* All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

Noted by N ENGR. REMUS C. MONTERON

Chairman, Bids & Awards Committee

Approved ENGR. DANTE B. NAVARRO General Manager

## ANNEX A GOVERNMENT PROCUREMENT POLICY BOARD Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

#### Name of Agency: <u>Balamban Water District</u> Date of Self Assessment: \_\_\_\_\_

## Name of Evaluator: <u>Hannah Lou M. Anticamara</u> Position: <u>BAC Secretary</u>

	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentatio (Not to be Included in the Evaluation
_	AR I. COMPLIANCE WITH LEGISLATIVE AND REGULATORY FRA				
nd	cator 1. Competitive Bidding as Default Procurement Metho	d			1
1	(a) Percentage of public bidding contracts in terms of	14.72%	0.00		PMRs
	amount of total procurement (b) Percentage of public bidding contracts in terms of				
2	volume of total procurement	1.32%	0.00		PMRs
-	Forante of cotal procarement				
١d	cator 2. Limited Use of Alternative Methods of Procurement				
3	(a) Percentage of Shopping contracts in terms of amount of	50 500/	0.00		
2	total procurement	58.58%	0.00		PMRs
4	(b) Percentage of Negotiated Procurement in terms of amount of total procurement	26.36%	0.00		PMRs
5	(c) Percentage of Direct Contracting in terms of amount of total procurement	0.35%	3.00		PMRs
6	(d) Percentage of Repeat Order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
7	(e) Percentage of Limited Source contracts in terms of amount of total procurement	0.00%	3.00		PMRs
	(f) Preparation of Annual Procurement Plan for Common-				
8	Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the	Compliant	3.00		APP, APP-CSE, PMR
	Procurement Service				
		· · · ·			
d	cator 3. Competitiveness of the Bidding Process				
9	(a) Average number of entities who acquired bidding	2.02	0.00		
9	documents	2.83	0.00		Agency records and/or PhilGEPS records
10	(b) Average number of bidders who submitted bids	2.83	1.00		Abstract of Bids or other agency records
1	(c) Average number of bidders who passed eligibility stage	2.67	2.00		Abstract of Bids or other agency records
12	(d) Sufficient period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
		Average I	1.50		
IL.	AR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEN	IENT CAPACITY			
۱d	cator 4. Presence of Procurement Organizations				
	cator 4. rresence of riocurement organizations				
	(a) Creation of Bids and Awards Committee(s)	Fully Compliant	3.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
13			3.00 3.00		Organizational Chart; and Certification of
13	(a) Creation of Bids and Awards Committee(s)	Compliant Fully			Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and
.3	(a) Creation of Bids and Awards Committee(s)	Compliant Fully			Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and
.3	<ul><li>(a) Creation of Bids and Awards Committee(s)</li><li>(b) Creation of a BAC Secretariat or Procurement Unit</li></ul>	Compliant Fully			Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
13 14	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation         <ul> <li>(a) APP is prepared for all types of procurement</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant	3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
13 14 15	(a) Creation of Bids and Awards Committee(s) (b) Creation of a BAC Secretariat or Procurement Unit cator 5. Procurement Planning and Implementation	Compliant Fully Compliant Compliant nt System (Phil	3.00 3.00 GEPS)		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any
13 14 15	(a) Creation of Bids and Awards Committee(s) (b) Creation of a BAC Secretariat or Procurement Unit cator 5. Procurement Planning and Implementation (a) APP is prepared for all types of procurement cator 6. Use of Philippine Government Electronic Procureme	Compliant Fully Compliant Compliant	3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any
13 14	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation         <ul> <li>(a) APP is prepared for all types of procurement</li> <li>cator 6. Use of Philippine Government Electronic Procureme             <ul></ul></li></ul></li></ul>	Compliant Fully Compliant Compliant nt System (Phil	3.00 3.00 GEPS)		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records
13 14 15 16	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation         <ul> <li>(a) APP is prepared for all types of procurement</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant nt System (Phil 100.00%	3.00 3.00 GEPS) 3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records
.3 .4 .6 .7	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation <ul> <li>(a) APP is prepared for all types of procurement</li> <li>cator 6. Use of Philippine Government Electronic Procureme</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant nt System (Phili 100.00% 100.00%	3.00 3.00 GEPS) 3.00 3.00 3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records
.3 .4 .6 .7	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation         <ul> <li>(a) APP is prepared for all types of procurement</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant nt System (Phili 100.00% 100.00%	3.00 3.00 GEPS) 3.00 3.00 3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records
.3 .4 .6 .7 .8	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation <ul> <li>(a) APP is prepared for all types of procurement</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> <li>(cator 7. System for Disseminating and Monitoring Procureme</li> <li>(a) Presence of website that provides up-to-date procurement information easily accessible at no cost</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant nt System (Phili 100.00% 100.00%	3.00 3.00 GEPS) 3.00 3.00 3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Identify specific procurement-related
13 14 14 15 16 16 16 16 16	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation <ul> <li>(a) APP is prepared for all types of procurement</li> <li>cator 6. Use of Philippine Government Electronic Procureme</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> <li>(cator 7. System for Disseminating and Monitoring Procureme</li> <li>(a) Presence of website that provides up-to-date procurement information easily accessible at no cost</li> <li>(b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant nt System (Phil 100.00% 100.00% 100.00% ent Information Fully	3.00 3.00 GEPS) 3.00 3.00 3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Identify specific procurement-related portion in the agency website and speci
13 14 14 15 16 16 16 16 16	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation <ul> <li>(a) APP is prepared for all types of procurement</li> <li>cator 6. Use of Philippine Government Electronic Procureme</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> <li>cator 7. System for Disseminating and Monitoring Procurem</li> <li>(a) Presence of website that provides up-to-date procurement information easily accessible at no cost</li> <li>(b) Preparation of Procurement Monitoring Reports using</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant 100.00% 100.00% 100.00% Ent Information Fully Compliant Substantially	3.00 3.00 GEPS) 3.00 3.00 3.00 3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Identify specific procurement-related portion in the agency website and speci- website links Copy of PMR and received copy that it
1.3 1.4 1.4 1.4 1.4 1.5 1.6 1.7 1.8 1.8 1.9	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation <ul> <li>(a) APP is prepared for all types of procurement</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> <li>(a) Presence of website that provides up-to-date procurement information easily accessible at no cost</li> <li>(b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant nt System (Phile 100.00% 100.00% 100.00% ent Information Fully Compliant Substantially Compliant	3.00 3.00 GEPS) 3.00 3.00 3.00 2.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Identify specific procurement-related portion in the agency website and spec website links Copy of PMR and received copy that it
.3 .4 .4 .6 .7 .7 .8 .6 .6 .7 .7 .8 .0 .0	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation <ul> <li>(a) APP is prepared for all types of procurement</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract award information posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> <li>(a) Presence of website that provides up-to-date procurement information easily accessible at no cost</li> <li>(b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant 100.00% 100.00% 100.00% fully Compliant Substantially Compliant	3.00 3.00 GEPS) 3.00 3.00 3.00 3.00		Organizational Chart; and Certification of Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Identify specific procurement-related portion in the agency website and spec website links Copy of PMR and received copy that it
13 14 14 15 16 16 16 17 18 18 19 00	<ul> <li>(a) Creation of Bids and Awards Committee(s)</li> <li>(b) Creation of a BAC Secretariat or Procurement Unit</li> <li>cator 5. Procurement Planning and Implementation <ul> <li>(a) APP is prepared for all types of procurement</li> <li>cator 6. Use of Philippine Government Electronic Procuremes</li> <li>(a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency</li> <li>(b) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> <li>(c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency</li> <li>(a) Presence of website that provides up-to-date procurement information easily accessible at no cost</li> <li>(b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website</li> </ul> </li> </ul>	Compliant Fully Compliant Compliant 100.00% 100.00% 100.00% fully Compliant Substantially Compliant	3.00 3.00 GEPS) 3.00 3.00 3.00 2.00		Organizational Chart; and Certification Training Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training Copy of APP and its supplements (if any Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Agency records and/or PhilGEPS records Identify specific procurement-related portion in the agency website and spec website links Copy of PMR and received copy that it

# GOVERNMENT PROCUREMENT POLICY BOARD

# Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency: <u>Balamban Water District</u> Date of Self Assessment: \_\_\_\_\_

#### Name of Evaluator: <u>Hannah Lou M. Anticamara</u> Position: <u>BAC Secretary</u>

	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
22	(b) Percentage of total number of contracts awarded against total number of procurement activities done through public bidding	50.00%	0.00		APP(including Supplemental amendments if any)and PMRs
23	(c) Percentage of failed biddings and total number of procurement activities conducted	50.00%	0.00		APP (including Supplemental Amendments, if any) and PMRs
	•				, ,,
ndi	icator 9. Compliance with Procurement Timeframes				T
24	(a) Percentage of contracts awarded within prescribed procurement time frames to procure goods as indicated in Annex "C" of the IRR	100.00%	3.00		PMRs
25	(b) Percentage of contracts awarded within prescribed procurement time frames to procure infrastructure projects as indicated in Annex "C" of the IRR	n/a	n/a	8	PMRs
26	(c) Percentage of contracts awarded within prescribed procurement time frames to procure consulting services as indicated in Annex "C" of the IRR	n/a	n/a		PMRs
nd	icator 10. Capacity Building for Government Personnel and P	vivete Cestev De	ai du cuta		
nu		rivate Sector Pa	rucipants		T
27	(a) There is a system within the procuring entity to evaluate the performance of procurement personnel	Fully Compliant	3.00		Ask BAC Secretariat Head, verify Office Orders on training of Procurement Staff
28	(b) Percentage of participation of procurement staff in annual procurement training	Between 91.00- 100%	3.00		Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
29	(c) Agency has actvities to inform and update entities on	Compliant	3.00		Ask for copies of documentation of
	public procurement	phane			activities for bidders
ndi	icator 11. Management of Procurement and Contract Manag	amont Pacardo			
30	(a) The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00		Verify actual procurement records and time it took to retrieve records (should b no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
31	(b) Implementing Unit has and is implementing a system for keeping and maintaining contract management records	Fully Compliant	3.00		Verify actual contract management records and time it took to retrieve records should be no more than two hou
ndi	icator 12. Contract Management Procedures				1
32	(a) Agency has well defined procedures and standards for quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Partially Compliant	1.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
33	(b) Agency complies with the thresholds prescribed for amendment to order, variation orders, advance payment, and slippage in publicly bid contracts.	Fully Compliant	3.00		Specific procurement contract with amendment to order, variation order or with negative slippage
34	(c) Timely payment of procurement contracts	On or before 30 days	3.00		Ask Finance or Accounting Head of Agence for average period for the release of payments for procurement contracts
-		Augenacili	2.22		
211	AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCURE	Average III	2.33		
	licator 13. Observer Participation in Public Bidding	STATENT			
35	(a) Observers are invited to all stages of every public hidding	Not Compliant	0.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PA invited shall be noted.)
36	(b) Attendance of Observers in public bidding activities	0.00%	0.00	an an an ann an an an an an an an an an	PMRs and Abstract of Bids
ndi	cator 14. Internal and External Audit of Procurement Activiti	es			
37	(a) Creation and operation of internal audit unit as prescribed by DBM (Circular Letter No. 2008-5, April 14, 2008)	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
0	(b) Agency Action on Prior Year's Audit Recommendations	Above 90- 100%	3.00		Verify COA Annual Audit Report on Action
8	(APYAR) on procurement related transactions	compliance			on Phot real's Audit Recommendations

## **GOVERNMENT PROCUREMENT POLICY BOARD**

## Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency: <u>Balamban Water District</u> Date of Self Assessment: \_\_\_\_\_ Name of Evaluator: <u>Hannah Lou M. Anticamara</u> Position: <u>BAC Secretary</u>

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation
Indi	cator 15. Capacity to Handle Procurement Related Complain	nts			
39	(a) The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Partially Compliant	1.00		Verify copies of BAC resolution, on Motion for Reconsiderations, the esterand Complaints; Office Orders according mesures to address procurement-related complaints
	cator 16. Anti-Corruption Programs Related to Procurement	t			
	(a) Agency has a specific anti-corruption program/s related to procurement	Partially Compliant	1.00		Verify documentation of anti-conception program
		Average IV	0.83		
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV	J / 4)	1.89		

\* APCPI Rating is based on the APCPI Rating System found in Annex C of the User's Guide. Please use this rating system for the self-assessment. After completing the assessment, identify those Indicators with ratings of between 0 to 2 and formulate a procurement capacity development plan called the APCPI Action Plan based on the attached format and submit to GPPB for monitoring.

\* For sub-indicators that are not applicable to your specific agency, please write the word Not Applicable in the second column and do not put wrating

#### Summary of APCPI Scores by Pillar

APCPI Pillars	Ideal Rating	Agency Rating
Pillar I: Compliance with Legislative and Regulatory Framework	3.0000	1.50
Pillar II: Agency Insitutional Framework and Management Capacity	3.0000	2.88
Pillar III: Procurement Operations and Market Practices	3.0000	2.33
Pillar IV. Integrity and Transparency of Agency Procurement Systems	3.0000	0.83
Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.0000	1.89

Noted by :

ENGR. REMUS C. MONTERON Chairman, Bids & Awards Committee

Approved b ENGR. DANTE B. NAVARRO General Manager

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## AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATOR (APCPI) CONFIRMATION QUESTIONNAIRE

Name of Agend	cy:I	Balaml	oan Water District	Date:	
Name of Respo	ondent: H	annah	Lou M. Anticamara	Position:	BAC Secretary
	a check ( ✔) mar blanks with nume			tion/requirement met as	provided below and then fill in the
1. Do you prepa	are an Annual Pro	curem	ent Plan for all types of proc	urement? (5a)	
$\checkmark$	Yes		No		
			ent Plan for Common-Use S nd Equipment from the Proci		(APP-CSE) and
$\checkmark$	Yes		No		
3. In giving you	r prospective bido	lers su	fficient period to prepare the	ir bids, which of these co	onditions is/are met? (3d)
7	Bidding docume Agency website;		available at the time of advo	ertisement/posting at the	PhilGEPS website or
$\checkmark$	Supplemental bi	d bulle	tins are issued at least seve	n (7) calendar days befo	re bid opening;
$\checkmark$	Minutes of pre-b	id con	ference are readily available	within three (3) days.	
4. In creating ye	our BAC and BAC	Secre	etariat which of these condition	ons is/are present?	
For BAC: (4a)					
•	Office Order crea	ating tl	ne Bids and Awards Commit	ee;	
$\checkmark$	There are at leas	st five	(5) members of the BAC;		
$\checkmark$	Members of BAC	C meet	qualifications; and/or		
$\checkmark$	Majority of the m	iembei	rs of BAC are trained on R.A	. 9184	
For BAC Secre	tariat: (4b)				
V	Office Order created act as BAC Secr	-	f Bids and Awards Committe	e Secretariat or designir	ng Procurement Unit to
$\checkmark$	The Head of the	BAC	Secretariat meets the minimu	im qualifications	
$\checkmark$	Majority of the m	iembei	s of BAC Secretariat are trai	ned on R.A. 9184	
	ng whether you pr is is/are met? (7a)		up-to-date procurement infor	mation easily accessible	e at no cost, which of
$\checkmark$	Agency has a wo	orking	website		
$\checkmark$	Procurement info	ormatio	on is up-to-date		
$\checkmark$	Information is ea	isily ac	cessible at no cost		
	with the preparat conditions is/are r	-	esting and submission of you 7b)	r agency's Procurement	Monitoring Report,

Agency prepares the PMRs
 PMRs are promptly submitted to the GPPB
 PMRs are posted in the agency website

 $\checkmark$ 

PMRs are prepared using the prescribed format

## AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATOR (APCPI) CONFIRMATION QUESTIONNAIRE

7. In evaluating	the performance of your procurement personnel, which of these conditions is/are present? (10a)
$\checkmark$	There is a written procedure within the procuring entity in evaluating the performance of procurement personnel
$\checkmark$	Procuring entity communicates standards of evaluation to procurement personnel
$\checkmark$	Procuring entity acts on the results and takes corresponding action
8. Have all of y	your procurement staff participated in annual procurement training? (10b)
$\checkmark$	Yes No
	If no, please indicate the how many of your procurement staff participated in annual procurement training out of
9. Do you cond	uct dialogue to inform and update bidders on the procurement regulations? (10c)
$\checkmark$	Yes No
	If yes, how often ?7 times/year
	ing whether the BAC Secretariat has a system for keeping and maintaining procurement records, conditions is/are present? (11a)
	There is a list of contract management related documents that are maintained for a period of at least five years
$\checkmark$	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and soft copies in dedicated computers
<b>√</b>	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel
	ning whether the Implementing Units has a system for keeping and maintaining procurement records, conditions is/are present? (11b)
<b>√</b>	There is a list of contract management related documents that are maintained for a period of at least five years
<b>√</b>	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and soft copies in dedicated computers
<b>~</b>	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel
	ing if the agency has well defined and written procedures for quality control, acceptance and inspection s and services, which of these conditions is/are present? (12a)
<b>I</b>	Agency has written procedures for quality control, acceptance and inspection of goods, services and works
	Supervision of civil works is carried out by qualified construction supervisors
	Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only)
	ing whether your agency complies with the thresholds prescribed for amendments to order, variation e payment, and slippage in publicly bid contracts, which of these conditions is/are met? (12b)
<b>I</b>	Amendments to order or variation orders, if any, are within ten percent (10%) of the original contract price
$\checkmark$	Advance payment(s) made does/do not exceed fifteen percent (15%) of the contract amount

Goods, works and services are timely delivered

## AGENCY PROCUREMENT COMPLIANCE AND PERFORMANCE INDICATOR (APCPI) CONFIRMATION QUESTIONNAIRE

14. How long it contractor/cons	will take for your agency to release the final payment to your supplier/service provider, ultant? (12c)days
15. Do you invit	e Observers in all stages of procurement? (13a)
$\checkmark$	Yes No
	(please mark all applicable stages)
	Ads/Post of IAEB
$\checkmark$	Pre-bid Conference
	Eligibility Check
$\checkmark$	Submission/Opening of Bids
	Bid Evaluation
	Post Qualification
$\checkmark$	Notice of Award
$\checkmark$	Contract Signing/Approve Purchase Order
	Notice to Proceed
-	and operating your Internal Audit Unit as prescribed by the DBM(Circular Letter No. 2008-5, which set of conditions were present? (14a)
	Office Order creating the Internal Audit Unit as prescribed by the DBM (Circular Letter No. 2008-5, April 14, 2008)
	Conduct of regular audit of procurement processes and transactions by internal audit unit
	Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the auditor's report
17. Are COA re report? (14b)	commendations responded to or implemented within six months of the submission of the auditors'
$\checkmark$	Yes
	If yes, percentage of COA recommendations responded to or implemented within six months%
	No procurement related recommendations received
	ng whether the Procuring Entity has an efficient procurement complaints system and has the capacity procedural requirements, which of conditions is/are present? (15a)
<b>I</b>	The BAC and the HOPE resolved Requests for Reconsideration and Protests within seven (7) calendar days as per Section 55 of the IRR and decisions
	Decisions on Protests are submitted to GPPB
	Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body
	ing whether agency has a specific anti-corruption program/s related to procurement, which of these e present? (16a)
$\checkmark$	Agency has a specific good governance program including anti-corruption and integrity development;
	Agency has a specific office responsible for the implementation of good governance programs;

Agency has specific policies and procedures in place for detection and prevention of corruption associated with procurement.

## Annex D

# PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE

## Name of Agency: Balamban Water District

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Period: 2016

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ndicators	Key Area for Development	Proposed Actions to Address Key Areas	Responsible Entity	Timetable	Resources Needed
1 (a)	Mode of Procurement Used	Strict adherance to the provisions of RA 9184 and its 2016 Revised Implementing Rules and Regulations	BAC	FY 2017	Supplies
1 (b)	Mode of Procurement Used	Strict adherance to the provisions of RA 9184 and its 2016 Revised Implementing Rules and Regulations	BAC	FY 2017	Supplies
2 (a)	Mode of Procurement Used	Strict adherance to the provisions of RA 9184 and its 2016 Revised Implementing Rules and Regulations	BAC	FY 2017	Supplies
2 (b)	Mode of Procurement Used	Strict adherance to the provisions of RA 9184 and its 2016 Revised Implementing Rules and Regulations	BAC	FY 2017	Supplies
3 (a)	Bidders / Contractors	Invite competitive bidders	BAC / BAC Secretariat	FY 2017	Supplies
8 (b)	Mode of Procurement Used	Strict adherance to the provisions of RA 9184 and its 2016 Revised Implementing Rules and Regulations	BAC Secretariat	FY 2017	Supplies
8(c)	Mode of Procurement Used	Strict adherance to the provisions of RA 9184 and its 2016 Revised Implementing Rules and Regulations	BAC Secretariat	FY 2017	Supplies
13 (a)	Observer's Participation	Invite observers in all stages of procurement activities	BAC Secretariat	FY 2017	Supplies
14 (a)	Internal and External Audit	Create and operation of internal audit staff	Procuring Entity	FY 2017	Staff

Noted By : ENGR. REMUS C. MONTERON Chairman Bids and Awards Committee

Approved By : ENGR. DANTE B. NAVARRO General Manager

## ANNEX C APCPI Revised Scoring and Rating System

No.         Assessment Conditions         Door/Not Compilant (p)         Acceptable (1)         Satisfactory (2)         Very Satisfactory (2)           0         Preventage of public bidding contracts in terms of anomat of total procurement         0.00         0.81         0           1         0         Preventage of public bidding contracts in terms of anomat of total procurement         0.00         0.81         0           1         0         Preventage of public bidding contracts in terms of anomat of total procurement         0.00         0.00           1         0         Preventage of bidding contracts in terms of anomat of total procurement         0.00         0.00           4         0         Preventage of bidding contracts in terms of anomat of total procurement         0.00         0.00           5         0         Preventage of bidding contracts in terms of anomat of total procurement         0.00         0.00           6         0         Preventage of bidding contracts in terms of anomat of total procurement         0.00         0.00         0.00           8         0         Preventage of bidding contracts in terms of anomat of total procurement         0.00         0.00         0.00           10         Preventage of bidding contracts in terms of anomat of total procurement         Not Compilant         0.00         0.00         0.00
Processor         Operation         Operating and the processor           10         Preventage of public bidding contracts in terms of amount of total procurement         0.70         0.81           11         Preventage of public bidding contracts in terms of amount of total procurement         0.70         0.81           12         ID Preventage of public bidding contracts in terms of amount of total procurement         0.20         0.40           13         ID Preventage of Public bidding contracts in terms of amount of total procurement         0.04         0.03           14         ID Preventage of Preventage of Public bidding contracts in terms of amount of total procurement         0.04         0.03           15         IP reventage of Preventage of Preventage of Interno of amount of total procurement         0.04         0.03           16         IP reventage of Internot on amount of total procurement         0.04         0.03           17         IP reventage of Internot on amount of total procurement         0.04         0.03           17         IP reventage of Internot on amount of total procurement         0.04         0.03           18         IP reventage of Preventage of Preven
Unitate 1: Competitive Bidding as Default Procurement Method         0.70         0.81           1         Decremating of public bidding contracts in terms of anount of total procurement         0.70         0.81           3         Discremating of public bidding contracts in terms of anount of total procurement         0.70         0.83           4         Discremating of Shopping contracts in terms of anount of total procurement         0.04         0.05           5         Discremating of Shopping contracts in terms of anount of total procurement         0.04         0.03           5         Discremating of Repair Discremation it terms of anount of total procurement         0.04         0.03           6         Operanting of Repair Discremation it terms of anount of total procurement         0.04         0.03           7         Operanting of Repair Discremation it Run of Common-Use Supplies and Equipment (PAP         Not Compliant         0.04         0.03           8         CSC, and Procurement of Eval discremating documents         3         4.00         2         3.00           10         Discremating of Repair Discremating documents         3         4.00         2         3.00           10         Discremating on discremating documents         3         4.00         2         3.00           10         Discremating of Repair Discremating document
2         Ib Presentage of public bidding contracts in terms of volume of total procurement         0.20         0.40           diate of a presentage of public bidding contracts in terms of amount of total procurement         0.12         0.05           diate of a presentage of stopping contracts in terms of amount of total procurement         0.12         0.03           diate of a presentage of breace contracts in terms of amount of total procurement         0.04         0.03           diate of a presentage of breace contracts in terms of amount of total procurement         0.04         0.03           diate of a presentage of breace contracts in terms of amount of total procurement         0.04         0.03           diate of a presentage of breace contracts in terms of amount of total procurement         0.04         0.03           diate of a presentage of bidied biding contracts in terms of amount of total procurement         0.04         0.03           diate of a presentage of bidied biding contracts in terms of amount of total procurement         0.04         0.04           diate of a presentage of bidied biding contracts in terms of amount of total procurement         0.04         0.03           diate of a presentage of bidied biding contracts in terms of amount of total procurement         0.04         0.04           diate of a presentage of bidied biding contracts in terms of amount of total procurement         0.04         0.04           dis Defection o
distance 2. Attensive Methods of Procurement       0.06       0.05         3       Di Percentage of Shogping contracts in terms of amount of total procurement       0.04       0.03         5       Di Percentage of Shogping Contracts in terms of amount of total procurement       0.04       0.03         6       Di Percentage of Shogping Contracts in terms of amount of total procurement       0.04       0.03         7       Di Percentage of Amount Shorts in terms of amount of total procurement       0.04       0.03         7       Di Percentage of Amount Shorts in terms of amount of total procurement       0.04       0.03         6       CSI and Procurement of Common-Use Supplies and Equipment from the Procurement       Not Compliant       0.04       0.03         6       CSI and Procurement of Common-Use Supplies and Equipment from the Procurement       Not Compliant       3       4.00         10       Di Average number of hitos with acquiried biding documents       3       4.00       2       3.00         11       Di Average number of bidies with acquiried biding brocker       Partially Compliant       Substantially Compliant       Fully Compliant         12       Di Sufficient Lerion to Procurement Unit       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       Di Creations of Bids and Averafs
3       10 Precentage of Shopping contracts in terms of amount of total procurement       0.06       0.05         5       10 Precentage of Shopping contracts in terms of amount of total procurement       0.04       0.03         6       10 Precentage of Direct Contracting in terms of amount of total procurement       0.04       0.03         7       10 Precentage of Direct Contracts in terms of amount of total procurement       0.04       0.03         7       10 Precentage of Limited Source contracts in terms of amount of total procurement       0.04       0.03         8       0.05       0.04       0.03       0.04         9       10 Procentage of Limited Source contracts in terms of amount of total procurement Lesson of Amount Procurement Discopping and Edgephene (LPP)       Not Compliant       0.04       0.03         10       10 Average number of bidders who acquired bidding documents       3       4.00       2       3.00         11       10 Average number of bidders who acquired bidding bocuments       Not Compliant       2       3.00       1         12       10 Sufficient period to prepare bids       Not Compliant       Partially Compliant       Substantially Compliant       Full Compliant         12       10 Caston of Bia anAwards Constructes (IAP)       Not Compliant       Partially Compliant       Substantially Compliant       Full Compliant<
4       Observentage of Negotized Procurement Interns of anound of total procurement       0.12       0.08         5       Observentage of Direct Contracting Interns of anound of total procurement       0.04       0.03         6       Observentage of Negotized Processor Contracts In terns of anound of total procurement       0.04       0.03         7       Observentage of Negotized Processor Contracts In terns of anound total procurement       0.04       0.03         7       Observentage of Linked Source contracts In terns of anound total procurement       0.04       0.03         8       Observentage of Linked Source contracts In terns of anound total procurement       0.04       0.03         9       Observentage of Negotized Processor       0.04       0.03       0         9       Observentage of Negotized Processor       2       0.00       0         10       Observentage of Negotized Processor       2       0.00       0       0         11       Observentage of Negotized Processor       Substantially Compliant       Fully Control       0         12       Observentage of Negotized Processor       Not Compliant       Partially Compliant       Substantially Compliant       Fully Control         13       Observentage of Divercentage of Processor       Not Compliant       Partially Compliant       Substantially Compl
5       Closenentge of Direct Contracting in terms of amount of total procurement       0.04       0.03         7       Closenentge of Repeat Office Contracts in terms of amount of total procurement       0.04       0.03         8       CSE jand Procurement Dain of Common-Use Supplies and Equipment (APP)       0.04       0.03         8       CSE jand Procurement Dain of Common-Use Supplies and Equipment (APP)       0.04       0.03         8       CSE jand Procurement Dain of Common-Use Supplies and Equipment (APP)       0.04       0.03         8       CSE jand Procurement Diano (Common-Use Supplies and Equipment from the Procurement Diano (Common-Use Supplies and Equipment (APP)       0.04       0.03         9       IA Average number of bidders who acquired bidding documents       3       4.00       1       2       0.04         10       ID Average number of bidders who acquired bidding documents       3       4.00       1       2       0.04         11       Charenge tendo to pregare ten
7       Operating of Linked Source contracts in terms of amound to total procurement (APP- 8)       0.03       0.03         8       CSE; and Procurement Plan for Common-Use Supplies and Equipment from the Procurement Service       Not Compliant       Compliant       Compliant         80       CSE; and Procurement Plan for Common-Use Supplies and Equipment from the Procurement Service       3       4.00         9       Io) Average number of idders who assumited bids       2       3.00         11       Ic) Average number of idders who assumited bids       2.00         12       Io) Substrate relation to submitted bids       2.00         11       Ic) Average number of idders who assumited bids       Partially Compliant       Substantially Compliant         12       Io) Substrate for torgenerate bids       Not Compliant       Partially Compliant       Fully Compliant         13       Io) Cestion of a BAC Secretariat on Procument Unit       Not Compliant       Partially Compliant       Fully Compliant         14       Io) Cestion of a BAC Secretariat on Procument Matchine State the Mide BAC Secretariat on Procument Matchine State the Mid
Image: Programme of Annual Procurement Plan for Common-Use Supplies and Equipment (APP.       Not Compliant       Compliant         Image: Science Procurement of Common-Use Supplies and Equipment from the Procurement Service       3       4.00         Image: Science Procurement of Common-Use Supplies and Equipment from the Procurement Service       3       4.00         Image: Science Procurement of Didders who acquired bidding documents       3       4.00         Image: Science Procurement of Didders who assued religibility stage       1       1.00       2.00         Image: Science Procurement Organizations       3       4.00       1         Image: Science Procurement Organizations       Not Compliant       Partially Compliant       Substantially Compliant         Image: Science Procurement Organizations       Image: Science Procurement Organizations       Substantially Compliant       Fully Compliant         Image: Science Procurement Planning and Implementation       Science Procurement Planning and Implementation       Science Procurement Planning and Implementation       Compliant         Image: Science of Concurrent Electronic Procurement Information posted by the Phil-GEP-registered Agency       0.71       0.81         Image: Science of Concurrent Electronic Procurement Information       Substantially Compliant       Substantially Compliant         Image: Science of Concurrent Electronic Procurement Information easily accessible       Not Complian
Service       Image: Service         Indicator 2. Competitiveness of the Bidding Process       9         9       (a) Average number of entities who acquired bidding documents       3       4.00         10       (b) Average number of bidders who assume bidding tocuments       2       3.00         11       (c) Average number of bidders who assume digibility stage       1       2.00         12       (d) Sufficient period to prepare bids       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       (a) Carston of Bids and Avards Committee (BACS)       Not Compliant       Partially Compliant       Fully Compliant         14       (b) Creation of Bids and Avards Committee (BACS)       Not Compliant       Partially Compliant       Fully Compliant         13       (a) Creation of Bids and Avards Committee (BACS)       Not Compliant       Partially Compliant       Fully Compliant         14       (b) Creation of Bids and Avards Committee (BACS)       Not Compliant       Not Compliant       Substantially Compliant       Fully Compliant         15       (a) APP is prepared for all types of procurement Unit       Not Compliant       Not Compliant       Compliant       Compliant         16       (a) Percentage of contract avards information poster vegitered Agency       0.71       0.81 <t< td=""></t<>
9       a) Average number of idders who submitted bids.       3       4.00         10       (b) Average number of bidders who submitted bids.       2       3.00         11       (c) Average number of bidders who submitted bids.       2       3.00         12       (d) Sufficient period to prepare bids       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         12       (d) Sufficient period to prepare bids       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       (a) Creation of Bids and Avands Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         14       (b) Creation of a Bids and Avands Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       (a) APE repared for all types of procurement Unit       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         14       (b) Creation of a BAC Secretariat or Procurement Name (BMIGEP)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         16       (a) Percentage of bidongorthules posted by the Phil-GEP-registered Agency       0.71       0.81       Compliant       Generate of bidongorth
10       (b) Average number of blidders who passed eligibility stage       1       2.00         12       (c) Average number of blidders who passed eligibility stage       1       2.00         12       (d) Sufficient period to prepare bids       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       (a) Creation of Bids and Awards Committees (BACS)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         14       (b) Creation of Bids and Awards Committees (BACS)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         14       (b) Creation of Bids and Awards Committees (BACS)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       (a) Creation of Bids and Awards Committees (BACS)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         didactor C. Use of Philopine Government Literton Procurement Unit       Not Compliant       Not Compliant       Compliant       Compliant         15       (a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency       0.71       0.81       Compliant         16       (c) Percentage of contract awards forcurement Information easily accessible       Not Compliant       Partiall
12       (d) Sufficient period to prepare bids       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         12       (d) Sufficient period to prepare bids       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       (a) Creation of Bids and Awards Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         14       (b) Creation of BAC Secretariator Procurement Unit       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         14       (b) Creation of BAC Secretariator Procurement Unit       Not Compliant       Substantially Compliant       Fully Compliant         15       (a) APP is prepared for all types of procurement Electronic Procurement System (PhilGEPs)       Compliant       October 200       O.51         16       (b) Precentage of contract award information posted by the Phil-GEPs-registered Agency       0.02       0.51       October 200         18       (c) Precentage of Dispined Source of through alternative methods posted by the Phil-GEPs-registered Agency       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         19       (a) Presented Watch To Contract awards procurement Information       Not Compliant       Partially Compliant       Substantially Compl
Jall (a) Creation of Bids and Awards Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Jall (a) Creation of Bids and Awards Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Jall (b) Creation of Bids and Awards Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Jall (b) Creation of Bids and Awards Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Jall (b) Creation of Bids and Awards Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Jall (b) Creating of Did portunities posted by the Phil-GEPs-registered Agency       0.71       0.81       Compliant         Jall (b) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51         Jall (c) Percentage of contract awards procured through alternation easily accessible       Not Compliant       Partially Compliant       Substantially Compliant         Jall (a) Presence of website that provides up-to-date procurement information easily accessible       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Jall (a) Preparation of Procurement Monitor
Indicator 4. Presence of Procurement Organizations       Image: Substantial Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         13       [a) Creation of Bids and Awards Committees (BACs)       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         14       [b) Creation of a BAC Secretaria or Procurement Unit       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         15       [a] APP is prepared for all types of procurement System (PhildEPs)       Compliant       0.71       0.81         16       [a) Percentage of contract award information posted by the Phil-GEPs-registered Agency       0.20       0.51       0.51         17       [b) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51       0.51         18       [c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51       0.51         19       [a] nesence of website that provides up-to-date procurement Information       0.20       0.51       0.51         10       [a] OPercentage of IDP procurement Information easily accessible auro of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website       Not Compliant       Partially Compliant       Su
14       (b) Creation of a BAC Secretariat or Procurement Unit       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         15       (a) APP is gregared for all types of procurement       Not Compliant       Not Compliant       Compliant       Compliant         16       (a) APP is gregared for all types of procurement System (PhilGEPs)       Compliant       0.07       0.0.1       Compliant         17       (b) Percentage of contract awards information posted by the Phil-GEPs-registered Agency       0.0.20       0.0.51       Compliant       Compliant       Compliant       Compliant       Substantially Compliant       Substantially Compliant
Idicator 5. Procurement Planning and Implementation       Compliant       Compliant         15       [a) APP is prepared for all types of procurement       Compliant       Compliant         16       [a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency       0.71       0.81         17       [b) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51         18       [c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51         18       [c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51         18       [c) Percentage of procurement Monitoring Procurement Information       0.20       0.51       0.55         14       [a) Presence of website that provides up-to-date procurement Information easily accessible at no cost       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         20       [b) Percentage of total amount of procurement awarded against total amount of approved Appended against total amount of approved Appended activities conducted       1.00       0.40       0.61         21       [a) Percentage of total number of procurement activities conducted       0.90       0.93       21         22       [b) Percenta
15       [a] APP is prepared for all types of procurement       Not Compliant       Compliant         16       [a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency       0.71       0.81         17       [b] Percentage of contract awards information posted by the Phil-GEPs-registered Agency       0.20       0.51         18       [c] Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51         18       [c] Percentage of contract awards procurement information       0.20       0.51         19       [a) Percentage of procurement Monitoring Procurement Information easily accessible at no cost       Not Compliant       Partially Compliant       Substantially Compliant         19       [a) Percentage of total provides up-to-date procurement information easily accessible submission to GPPB, and posting in agency website       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         20       [b) Percentage of total amount of procurement awarded against total amount of approved activities done through public bidding.       1.00       0.40       0.61         21       [a) Percentage of failed biddings and total number of procurement activities conducted       0.10       0.08       1.00
Ideal action       6. Use of Philippine Government Electronic Procurement Nystem (PhilGEPs)-         16       (a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency       0.71       0.81         17       (b) Percentage of contract award information posted by the Phil-GEPs-registered Agency       0.20       0.51         18       (c) Percentage of contract award information posted by the Phil-GEPs-registered Agency       0.20       0.51         Operating of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         Operating of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         Operating of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         Operating of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         Operating of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         Operating of procurement Monitoring Procurement Information         System for Disseminating and Monitoring Procurement Information easily accessible at no cost         Not Compliant       Partially Compliant       Substantially Compliant         Substantially Compliant       Substantially Compliant         Substantially Compliant       Substantially Compliant
16       (a) Percentage of bid opportunities posted by the Phil-GEPs-registered Agency       0.71       0.81         17       (b) Percentage of contract award information posted by the Phil-GEPs-registered Agency       0.20       0.51         18       (c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.20       0.51         18       (c) Percentage of contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency       0.00       0.51         of Contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         of Contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         of Contract awards procured through alternative methods posted by the Phil-GEPs-registered Agency         International Contract awards procurement Information         10       (a) Presence of website that provides up-to-date procurement information easily accessible at no cost       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Internation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website       Not Compliant       Partially Compliant       Substantially Compliant       Fully Compliant         Internation of procurement Monitoring Reports using the prescribed format, prompt submission to GPPB
Image:
18       GEPs-registered Agency       0.51         18       GEPs-registered Agency       0.51
IdEEPs-registered Agency       Ideal       Ideal         Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ideal       Ide
19 at no cost (b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       10/ (b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       10/ (b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt submission to GPPB, and posting in agency website     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       10/ (c) (b) Precnatege of total amount of procurement awarded against total amount of approved APPs     1.00     0.40     0.61       22     (b) Percentage of total number of contracts awarded against total number of procurement activities done through public bidding 3     0.10     0.08     0.08
Image: Instrument of the instru
20     [b] Preparation of Procurement Monitoring Reports using the prescribed format, prompt     Not Compliant     Partially Compliant     Substantially Compliant       20     (b) Preparation of Procurement Monitoring Reports using the prescribed format, prompt     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       Value Statistication of Procurement Monitoring Reports using the prescribed format, prompt       Value Statistication of Procurement Processes       Value Statistication of procurement awarded against total amount of approved APPs of total number of contracts awarded against total number of procurement activities conducted       20     (c) Percentage of fold number of procurement activities conducted     0.10     0.08
diatator 8. Efficiency of Procurement Processes         1       (a) Percentage of total amount of procurement awarded against total amount of approved       1.00       0.40       0.61         APPs       (b) Percentage of total amount of contracts awarded against total number of procurement       0.90       0.93         22       (b) Percentage of failed biddings and total number of procurement activities conducted       0.10       0.00
aldicator 8: Efficiency of Procurement Processes         21       [a] Percentage of total amount of procurement awarded against total amount of approved       1.00       0.40       0.61         APPs       [b] Percentage of total number of contracts awarded against total number of procurement activities done through public bidding       0.90       0.93         22       [b] Percentage of failed biddings and total number of procurement activities conducted       0.10       0.08
21       (a) Percentage of total amount of procurement awarded against total amount of approved       1.00       0.40       0.61         22       (b) Percentage of total number of contracts awarded against total number of procurement activities done through public bidding       0.9       0.93         23       (c) Percentage of failed biddings and total number of procurement activities conducted       0.10       0.08
APPs     Contracts       22     (b) Percentage of total number of contracts awarded against total number of procurement activities done through public bidding     0.90       23     (c) Percentage of failed biddings and total number of procurement activities conducted     0.10
22     activities done through public bidding     0.90     0.93       23     (c) Percentage of failed biddings and total number of procurement activities conducted     0.10     0.08
ndicator 9: Compliance with Procurement Timeframes
24 (a) Percentage of contracts awarded within the prescribed period to procure goods as
Indicated in Annex "C" of the IRR
25 projects as indicated in Annex "C" of the IRR 0.90
26     (c) Percentage of contracts awarded within the prescribed period to procure consulting services as indicated in Annex "C" of the IRR     0.90     0.96
ndicator 10. Capacity Building for Government Personnel and Private Sector Participants
27 (a) There is a system within the procuring entity to evaluate the performance of procurement personnel Not Compliant Partially Compliant Substantially Compliant Fully Compliant
b) Percentage of participation of procurement staff in annual procurement training Less than 60.00% Trained Between 60.00-75.99% Between 76.00-90.99% Between 91.00
Interference     Operating of protecting of pr
I and a second s
30 (a) The BAC Secretariat has a system for keeping and maintaining procurement records Not Compliant Partially Compliant Substantially Compliant Fully Compl
31 (b) Implementing Units has and is implementing a system for keeping and maintaining Not Compliant Partially Compliant Substantially Compliant Fully Compl
I contract management records
ndicator 12. Contract Management Procedures
32     (a) Agency has well defined procedures and standards for quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance     Not Compliant     Partially Compliant     Substantially Compliant
33 (b) Agency compliant with the thresholds prescribed for amendment to order, variation orders, advance payment, and slippage in publicly bid contracts. Not Compliant Partially Compliant Substantially Compliant Fully Compliant
34     (c) Timely payment of procurement contracts     After 45 days     Between 38-45 days     Between 31-37 days     On or before 3
ILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEMS
ndicator 13. Observer Participation in Public Bidding
35     (a) Observers are invited to all stages of every public bidding activity     Not Compliant     Compliant
36     (b) Attendance of Observers in public bidding activities     0.70     0.80
ndicator 14. Internal and External Audit of Procurement Activities
(a) Creation and operation of internal audit unit as prescribed by DBM (Circular Letter No
37     2008-5, April14, 2008)     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       38     (b) Agency Action on Prior Year's Audit Recommendations (APYAR) on procurement related     Relow 60% compliance     Between 61-70.99%     Between 71-89.99%     Above 90-100% compliance
37         Not Compliant         Partially Compliant         Substantially Compliant         Fully Compliant           2008-5, April14, 2008)         [h] Agency Artion on Prior Year's Audit Recommendations (APYAR) on procurement related         Retween 61-70 99%         Retween 71-89 99%
37     2008-5, April14, 2008)     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       38     (b) Agency Action on Prior Year's Audit Recommendations (APYAR) on procurement related transactions     Below 60% compliance     Between 61-70.99% compliance     Between 71-89.99% compliance     Above 90-100% compliance       Holdiator 15. Capacity to Handle Procurement Related Compliants
31     2008-5, April14, 2008)     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       38     [b] Agency Action on Prior Year's Audit Recommendations (APYAR) on procurement related transactions     Below 60% compliance     Between 61-70.99% compliance     Between 61-70.99% compliance     Above 90-100% compliance       dictator 15. Capacity to Handle Procurement Related Complaints 30     [a] The Procuring Entity has an efficient procurement complaints system and has the     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant
31     2008-5, April14, 2008)     Not Compliant     Partially Compliant     Substantially Compliant     Fully Compliant       38     (b) Agency Action on Prior Year's Audit Recommendations (APYAR) on procurement related transactions     Below 60% compliance     Between 61-70.99% compliance     Between 71-89.99% compliance     Above 90-100% compliance        Image: Course of the

	Agency Score
Sub-indicator 1a	14.72%
Sub-indicator 1b	1.32%
Sub-indicator 2a	58.58%
Sub-indicator 2b	26.36%
Sub-indicator 2c	0.35%
Sub-indicator 2d	0.00%
Sub-indicator 2e	0.00%
Sub-indicator 3a	2.83
Sub-indicator 3b	2.83
Sub-indicator 3c	2.67
Sub-indicator 6a	100.00%
Sub-indicator 6b	100.00%
Sub-indicator 6c	12.05%
Sub-indicator 8a	80.07%
Sub-indicator 8b	50.00%
Sub-indicator 8c	50.00%
Sub-indicator 9a	100.00%
Sub-indicator 9b	#DIV/0!
Sub-indicator 9c	#DIV/0!
Sub-indicator 13b	0.00%